

Lateral Variation in Crustal Structure of the Northern Tibetan Plateau Inferred from Teleseismic Receiver Functions

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Abstract We investigate lateral variations in crustal structure across the northern boundary of the Tibetan Plateau using the receiver functions at three broadband stations deployed during the 1991–1992 Tibet PASSCAL experiment. The first 5 sec of the receiver functions vary systematically with backazimuth: the radial receiver functions are symmetric across the N–S axis while the tangential receiver functions are antisymmetric across this axis. This symmetry can be modeled by E–W striking dipping interfaces in the upper-middle crust. The strike direction is consistent with the E–W trend of surface geology. Modeling a *P*-to-*S* converted phase in the receiver functions at each station suggests that there is a mid-crustal low-velocity layer with its upper boundary dipping 20° to 30° to the south. In addition, a shallow northward-dipping interface is responsible for the “double-peaked” direct *P* arrivals in the radial receiver functions and large tangential motions at one of the stations. The low-velocity layer, together with other geological and seismological observations, suggests that there is a hot, possibly partial melt zone in the middle crust of northern Tibet. Alternately, dipping velocity interfaces might be associated with some buried thrust faults in the upper crust that accommodated crust shortening during the plateau formation.

Introduction

The Tibetan Plateau, bounded by the Kunlun mountains in the north and Himalayas in the south, with an average elevation of 5 km and an areal extent of nearly 7×10^5 km², has long been an interesting and challenging subject in the geosciences. The uplift of Tibetan Plateau is the result of the collision of the Indian plate with Eurasia, which began during Middle Eocene, 45 m.y.a. However, the details of the uplift mechanism remain controversial. Among the most popular uplift models are the underthrust model and its variants (Argand, 1924; Zhao and Morgan, 1985; Beghoul *et al.*, 1993), the crustal shortening and thickening model (Dewey and Bird, 1970; Dewey and Burke, 1973), and the lateral crustal extrusion model (Molnar and Tapponnier, 1977; Tapponnier *et al.*, 1982). Due to insufficient information on the lithospheric structure of the plateau, it is difficult to discriminate among different uplift models.

Most geological and geophysical investigations on the plateau have been concentrated on the southern Tibet, near the Himalayas. Comparatively, the structure near the northern boundary of the plateau was poorly resolved. Recently, in a joint research project conducted by the Institute of Geophysics, State Seismological Bureau, China, the University of South Carolina, and the State University of New York at Binghamton, 11 broadband three-component seismic recorders were deployed on the plateau for 1 year (Fig. 1). Three

stations, TUNL, BUDO, and ERDO, placed across the northern boundary of the plateau, provided an opportunity to study the crustal and upper-mantle structure of this area. We use teleseismic *P* waveforms to study the lateral variations of the crustal structure. We show that there is strong lateral variation in the crust of the northern plateau and that most of this variation is caused by E–W striking dipping velocity interfaces in the upper-middle crust.

Receiver Functions and Dipping Interfaces

Teleseismic *P* waveforms contain information about near-source and near-receiver structure. Using the method of Langston (1979), the source and distant path effects can be removed from *P* waveforms by deconvolving the vertical from the horizontal components. The source-equalized teleseismic waveform is called the receiver function and is most sensitive to the *P*-to-*S* conversions from structures beneath the recording site (Owens *et al.*, 1984).

The radial receiver functions are often modeled by horizontally stratified velocity structure using a time-domain inversion technique (Owens *et al.*, 1984; Ammon *et al.*, 1990). This method has been applied to numerous areas and is efficient in estimating the velocity-depth variation of the crust. However, if the tangential receiver function is com-

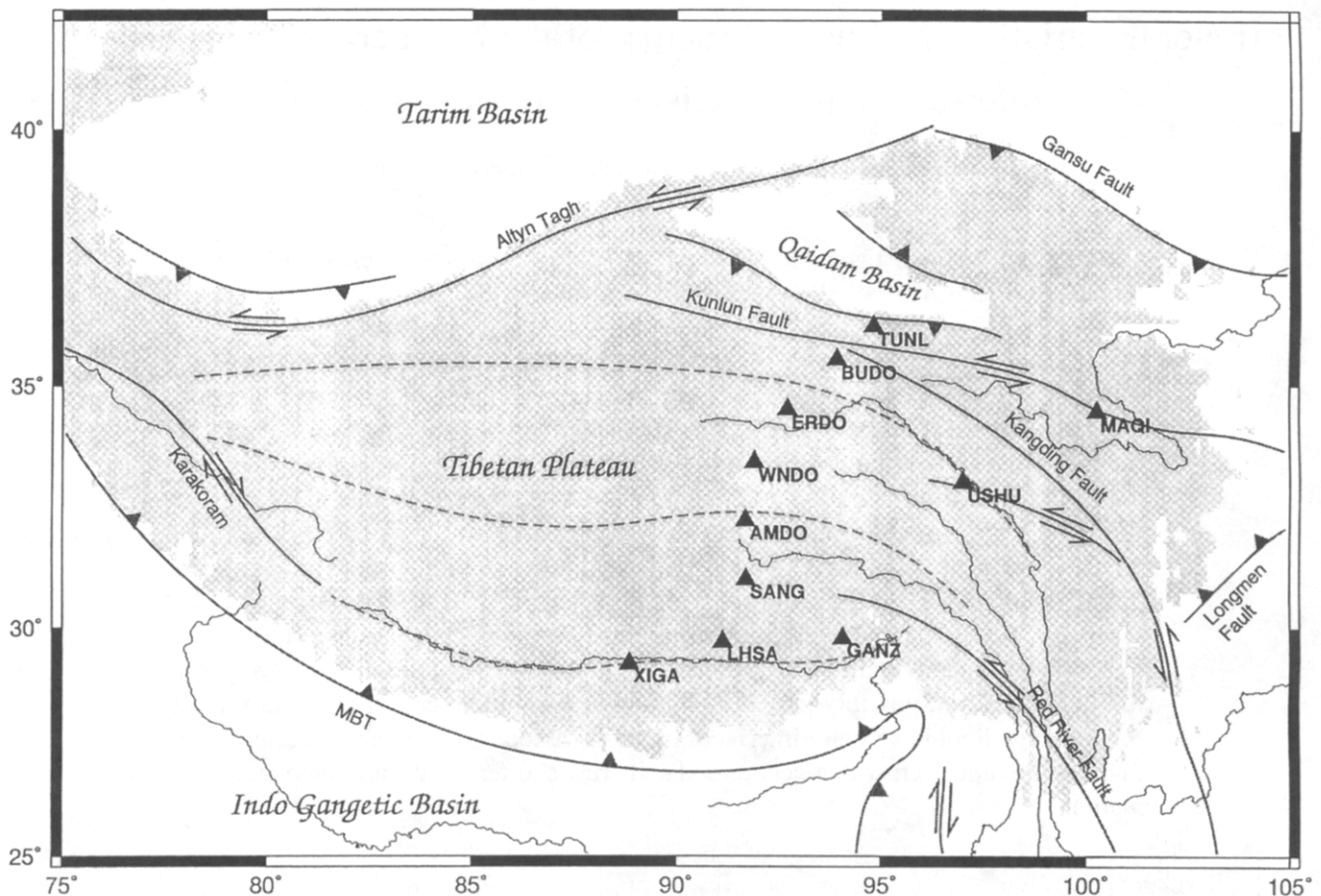


Figure 1. The Tibetan Plateau, major faults, and broadband stations of 1991–1992 PASSCAL experiment. Shading indicates elevation above 3 km.

parable in amplitude to the radial receiver function, which indicates strong lateral heterogeneity beneath the site, modeling the details of the radial receiver function with horizontally stratified velocity will produce erroneous results.

One special case of lateral heterogeneity is a dipping planar velocity interface. In this case, both the radial and tangential receiver functions vary with backazimuth in a predictable pattern. In Figure 2, we show synthetic receiver functions for a model that contains a mid-crustal low-velocity layer with upper boundary dipping 20° to the south. The interface is at depth of 20 km, where the S velocity is 3.5 km/sec above and 3.0 km/sec below. Synthetics are calculated using a 3D raytracing method of Langston (1977). The ray parameter is 0.068 sec/km, which corresponds to an epicentral distance of 50° . The waveform variation with backazimuth is systematic: the radial receiver functions are symmetric across a line parallel to the dip direction of the interface, while the tangential receiver functions are anti-symmetric about this direction (indicated by arrow in Fig. 2). The tangential receiver function is largest when the P -wave approaches from the strike direction and is zero when the ray comes from a direction parallel to the dip direction. The P -to- S converted wave generated at the dipping inter-

face is indicated by a thick gray line in the figure. This negative-amplitude phase emerges for southern backazimuths, has a maximum amplitude from the dip direction, and vanishes gradually away from the dip direction.

Therefore, if a dipping interface is the major cause of lateral heterogeneity under a station, it is easy to determine the dip and strike directions by examining a backazimuthal profile of receiver functions. The dip angle, velocity contrast, and the depth of the interface can be estimated by modeling the amplitude and arrival time of the converted phase from the interface. A trade-off, however, exists between the dip angle and the velocity contrast across the interface (Owens and Crosson, 1988). In Figures 3a and 3b, we show the variation of radial and tangential amplitudes of the P -to- S converted phases with backazimuth at different dip angles. Figure 3c is the variation of maximum radial amplitude with velocity below the interface, after fixing the velocity above at 3.5 km/sec. The dip angle controls the shape of the amplitude variation with backazimuth and also affects the maximum radial amplitude, while the velocity difference across the interface contributes mainly to the maximum amplitude. However, to produce the same maximum amplitude with velocity contrast differing 0.1 km/sec, the dip angles will

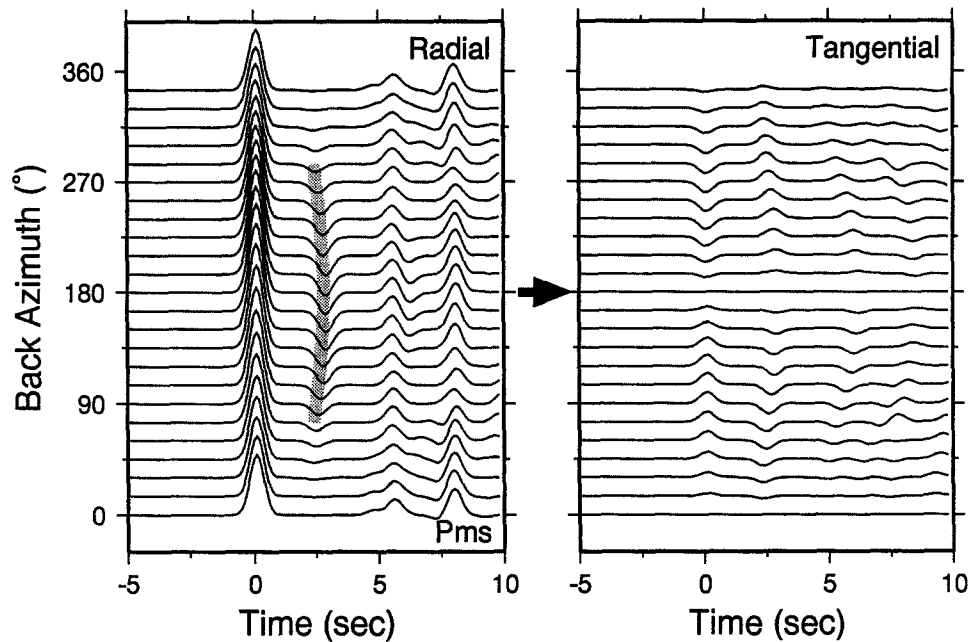


Figure 2. Synthetic receiver functions for a model containing a mid-crustal low-velocity layer with its upper boundary dipping 20° to the south. *P*-to-*S* converted phase from the dipping interface is indicated by the thick gray line. *Pms* is the *P*-to-*S* converted wave from the Moho.

differ more than 10° (Fig. 3c), which have observable shape differences in the amplitude variation with backazimuth (Figs. 3a and 3b). Thus, if the data have sufficient backazimuth coverage, reasonable constraints can be made on the dip angle and velocity contrast across the interface.

Receiver Function Variation with Backazimuth

More than 300 teleseismic events ranging between 35° to 95° in epicentral distance were recorded during the experiment. Instrumentation details about the experiment can be found in Owens *et al.* (1993). A preliminary study of the receiver functions at three sites (TUNL, WNDO, and XIGA) located in the northern, central, and southern plateau showed the great thickness of the crust (60 to 80 km) and a difference in velocity structures between the north-central and southern Tibetan Plateau (Zhu *et al.*, 1993). Large tangential motions were also observed at TUNL and XIGA.

Three stations, TUNL, BUDO, and ERDO, were located across the north boundary of the plateau, which is defined by an E-W striking left-lateral fault system, the Kunlun Fault System (Fig. 1). The elevation increases from 3 km in the Qaidam Basin in the north to more than 4.5 km on the plateau. During several recent geotraverses, some thrust faults were discovered in the northern Tibet, for example, the South Qaidam Border Thrust Fault and Fenghuoshan Thrust Faults (Kidd *et al.*, 1988). The surface geology in this area includes highly deformed Permian subduction-related rocks and Upper Paleozoic plutons (Dewey *et al.*, 1988).

Excellent backazimuthal coverage enables us to study

the lateral variations of the crustal structure using the variation of the receiver function waveforms. The three-component teleseismic recordings were cut with a time window of 180-sec long beginning 60 sec before the direct *P* arrival. The horizontal components were then rotated to radial and tangential directions and deconvolved with the vertical component in the frequency domain. A Gaussian parameter of 2.5 was used to exclude frequencies above 1 Hz. To stabilize the deconvolutions, we used a range of water levels from 0.1 to 0.0001. Details on the calculation of receiver function are described elsewhere (e.g., Langston, 1979; Owens *et al.*, 1984).

For each station, the teleseismic events are divided into groups of backazimuth range less than 10° , according to the waveform similarity of the receiver functions. We found that differences in epicentral distance do not strongly affect the waveforms, so some of the groups span a distance range of more than 20° . The receiver functions in each group are then stacked. The backazimuthal/distant range and the number of events for each stacking suite are listed in Table 1.

Figures 4 through 6 show the backazimuthal profiles of the stacked receiver functions at the three stations. It is clear that there is strong lateral variation in the upper-middle crust of this region, as evidenced by the variation of radial receiver function waveforms and large tangential motions at all three stations. Also, the waveforms vary with backazimuth in the pattern predicted by dipping interfaces described above. Both the symmetry of radial receiver functions and the antisymmetry of tangential receiver functions across roughly N-S direction are noticeable in the first 5 sec of the wave-

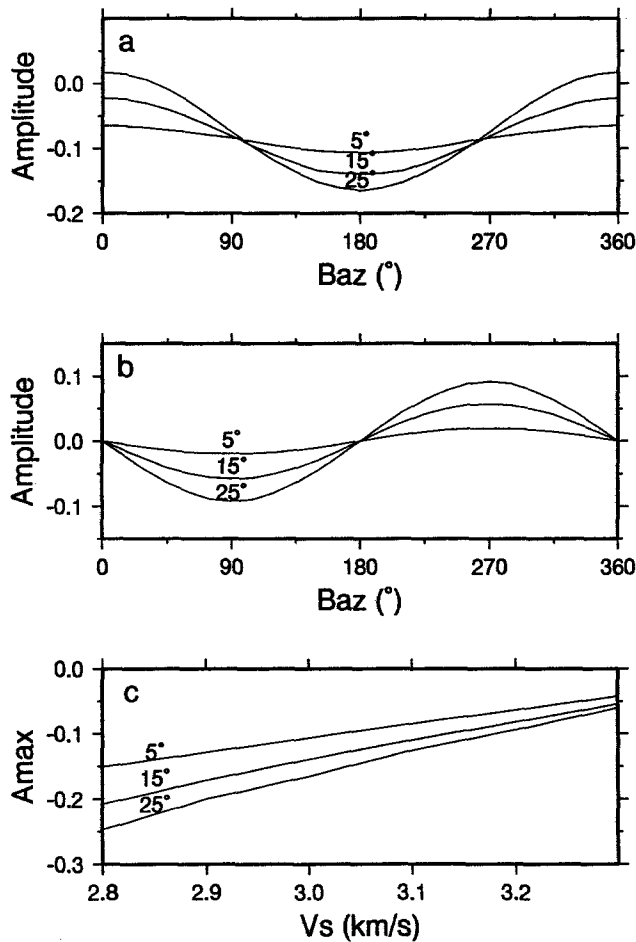


Figure 3. Variation of (a) radial and (b) tangential amplitude with backazimuth of the converted phase from the dipping interface at different dip angles. (c) Variation of maximum amplitude with velocity below the interface at different dip angles. The S velocity above the interface is fixed at 3.5 km/sec.

forms. The tangentials are nearly zero in the N-S direction (as indicated by the horizontal arrows in Figs. 4 through 6) and the largest tangential motions are generated by P waves arriving from the east and west directions (backazimuths of about 90° to 100° and 270° to 280°) with opposite polarities.

Dipping Velocity Interfaces beneath Northern Tibet

A prominent feature in the radial receiver functions is a mid-crustal P -to- S converted phase observed in backazimuth range of about 100° to 300° (indicated by the thick gray lines in Figs. 4 through 6). This negative-polarity arrival is strongest at BUDO (time delay 3.3 sec with respect to direct P arrival) and also can be identified at TUNL (2.4 sec) and ERDO (3.8 sec). Since there is no large converted phase before it, this phase is not a multiple converted phase. The negative polarity indicates that this phase is generated at the top of a low-velocity layer. The amplitude variation of this phase with backazimuth is caused by the southward dipping

Table 1
Backazimuth/Distance Range and Number of Events
of Stacking Suites

Baz (°)	Δ Baz (°)	Distance (°)	Δ Distance (°)	N
BUDO				
5	0	46	0	1
27	2	92	10	5
44	10	58	21	12
59	12	42	9	34
79	10	38	3	21
89	4	42	5	7
102	9	50	3	4
130	15	47	22	34
114	9	73	32	29
145	5	50	4	2
164	9	42	6	6
181	0	51	0	2
211	0	58	0	1
243	0	40	0	1
255	0	51	0	1
267	1	32	1	2
275	0	36	0	1
289	6	42	18	6
303	0	53	0	1
346	0	55	0	1
Total				171
TUNL				
6	2	45	1	2
29	10	89	27	8
45	7	58	17	11
59	7	42	8	33
66	8	38	4	15
80	8	37	4	25
90	8	40	5	12
102	10	49	3	6
114	10	76	32	27
128	9	45	22	24
136	12	47	12	25
152	8	48	2	4
167	2	41	1	8
182	0	52	0	2
212	0	59	0	1
243	0	41	0	1
267	1	33	1	2
255	0	60	14	2
273	3	37	0	2
285	1	38	4	2
294	12	46	15	5
346	0	54	0	1
Total				217
ERDO				
27	2	94	10	4
43	10	61	20	11
58	10	44	8	30
70	6	39	1	5
79	6	39	3	8
89	9	44	9	5
112	9	75	26	22
128	12	47	21	31
143	6	50	4	4
161	0	42	0	1
164	0	39	0	2
179	0	50	0	2
210	0	57	0	1
272	6	33	3	2
287	0	37	4	2
292	2	45	11	3
303	0	53	0	1
Total				132

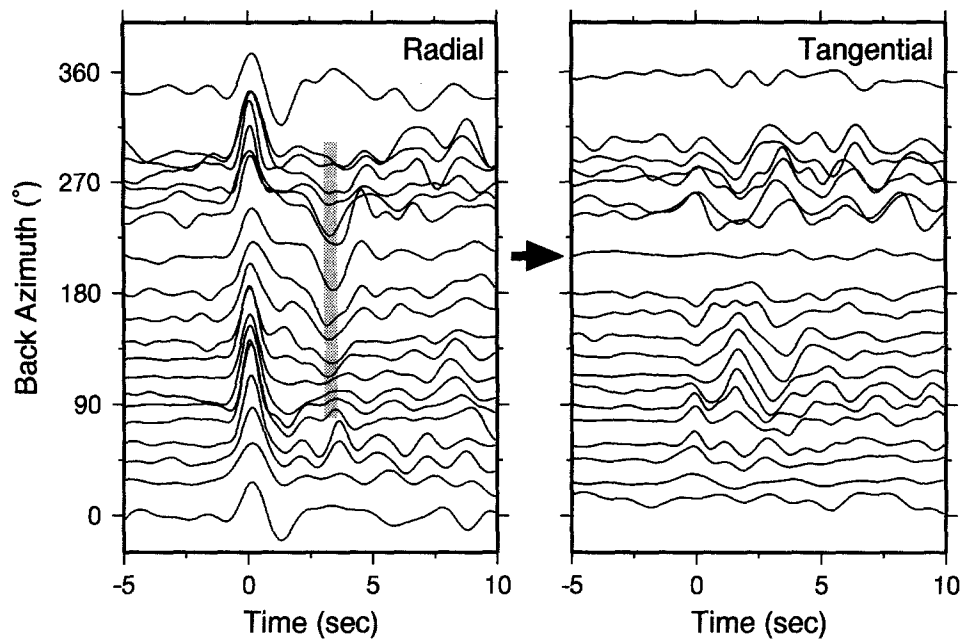


Figure 4. Receiver functions at station BUDO. Horizontal arrow points to the back-azimuth with minimum tangential energy. Thick gray line shows a *P*-to-*S* converted phase on radial components.

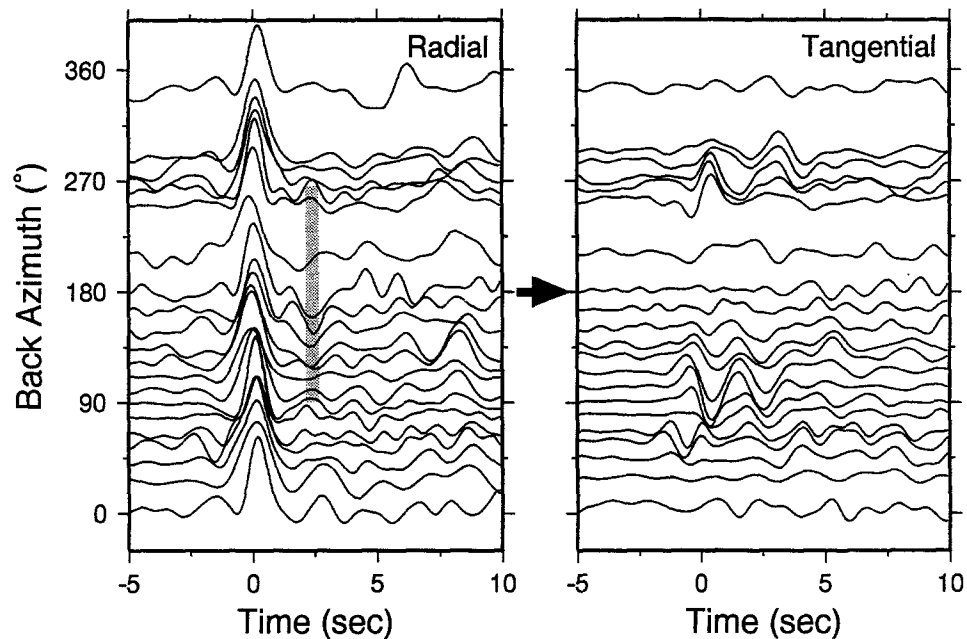


Figure 5. Receiver functions at station TUNL. Horizontal arrow points to the back-azimuth with minimum tangential energy. Thick gray line shows a *P*-to-*S* converted phase on radial components.

of the interface (as demonstrated by the synthetic receiver functions in Fig. 2).

We measured the amplitudes of this phase on both the radial and tangential receiver functions from different back-azimuths and fit them by trial-and-error forward modeling. From our preliminary receiver function analysis (Zhu *et al.*,

1993), we fixed the velocity above the interface at 3.5 km/sec and varied the velocity below the interface from 2.8 to 3.3 km/sec. A range of dip angles from 5° to 35° were tested. We used the maximum radial amplitude to estimate the velocity contrast and used the shape of the amplitude variation with backazimuth to estimate the dip angle and direction.

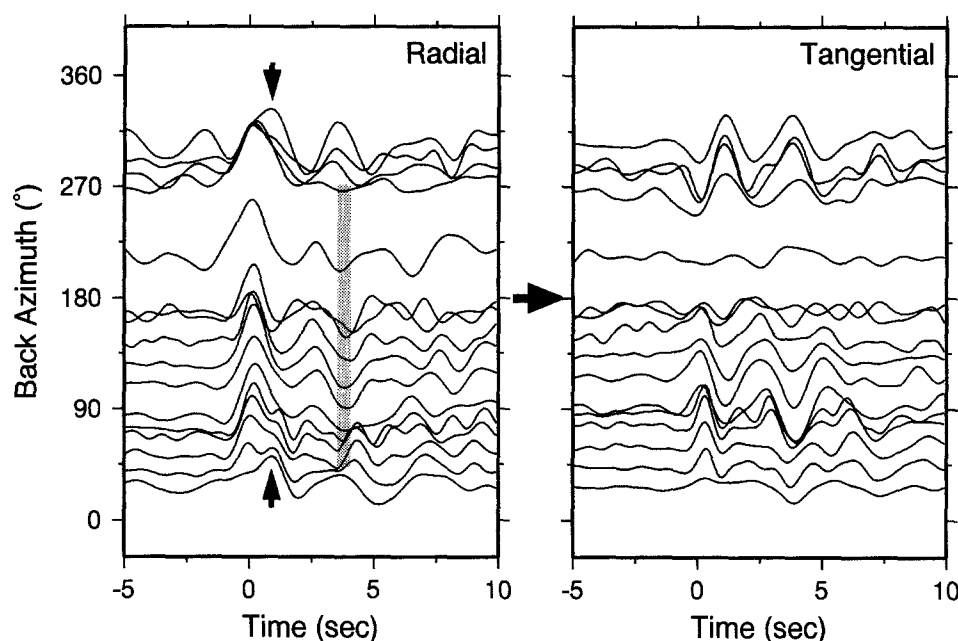


Figure 6. Receiver functions at station ERDO. Horizontal arrow points to the back-azimuth with minimum tangential energy. Thick gray line shows a *P*-to-*S* converted phase on radial components. The apparent time shifts of direct *P* arrivals are indicated by vertical arrows.

The modeling results are listed on Table 2. Figure 7 presents the comparisons between data and the values predicted by the dipping models.

There is very good backazimuth sampling at BUDO, which leads to substantial constraint on the velocity below the interface (2.8 to 2.9 km/sec), and the dip angle (20° to 25°). The strike is $N110^\circ$ - 120° E. Both the radial and tangential amplitudes variation are well modeled by the dipping structure (Fig. 7). For station TUNL, although the maximum amplitude of the converted phase at this site is less than that at BUDO, the amplitude has a very rapid variation with back-azimuth, implying steep dip angle. The velocity below the interface from the modeling is 3.0 ± 0.1 km/sec. Dip angle is $30^\circ \pm 5^\circ$ with strike $N90^\circ \pm 10^\circ$ E. The actual dip angle seems to be even larger. However, with planar dipping interface, a dip angle larger than 35° for the given velocity contrast will make the transmitted *P* at the interface turn into head wave. Probably, a curved interface is needed to match the amplitude variation at TUNL. Data at ERDO are relatively scatter, especially on the tangential component. Part of the reason is due to a shallow structure, which we will discuss later. The estimated velocity below the interface is 3.0 ± 0.1 km/sec, and the dip angle is $20^\circ \pm 10^\circ$ with strike $N60^\circ \pm 20^\circ$ E. Large uncertainty exists for this station. The depth of the dipping interface at each station is determined by the arrival time of the converted phase, after assuming an average upper-crust *S* velocity of 3.5 km/sec and normal V_p/V_s ratio. The results are 15 km for TUNL, 24 km for BUDO, and 26 km for ERDO.

Another feature of radial receiver functions is an apparent time shift of direct *P* arrivals from backazimuths of 30° and 315° at ERDO (indicated by the vertical arrows in Fig. 6). This shift is produced by a shallow dipping interface that reduces the direct *P* arrival amplitude and generates a large *Ps* wave that appears to be the first arrival, as discussed by Owens and Crosson (1988). By adding a top layer beneath ERDO and adjusting the depth, dip, and velocity contrast of the bottom boundary, we can model both the radial and tangential waveform of the first 2 to 3 sec quite well (Fig. 8). The shallow layer has a thickness of 4 km with bottom interface dipping 15° to the north (see Table 2).

Low Velocities and High Temperature in the Northern Tibetan Plateau Crust

Our modeling shows that there is a low-velocity layer (LVL) at depth range of 15 to 25 km in the crust of the northern Tibetan Plateau. Due to the difficulty of identifying the converted phase from the bottom of the LVL, we cannot determine its thickness. The *S* velocity reduction of this layer could be as large as 15 to 20%. The LVL is consistent with previous results of surface-wave studies that show that the plateau, especially the north-central part, has a very low average crustal velocity (Romanowicz, 1982; Brandon and Romanowicz, 1986).

Some interesting implication about crustal structure can be derived from the LVL. Earthquake relocations showed that most of the seismicity in the Tibetan Plateau is confined

Table 2
Velocity Structures from Forward Modeling

Layer	Thickness (km)	V_p (km/sec)	V_s (km/sec)	Dip (°)	Strike (°)
BUDO					
1	24	6.06	3.50	25	120
2		4.85	2.80		
TUNL					
1	15	6.06	3.50	30	90
2		5.20	3.00		
ERDO					
1	4	4.33	2.50	15	270
2	22	6.06	3.50	20	60
3		5.20	3.00		

to the top 5 to 10 km of the crust (Molnar and Chen, 1983; Zhao and Helmberger, 1991; Randall *et al.*, 1995). It was estimated that earthquake cutoff temperature for continental crust is about 250°C to 450°C (Chen and Molnar, 1983). Thus, shallow seismicity indicates high geotherm gradient. Kern and Richter (1981) calculated temperature derivatives of V_p and V_s from laboratory measurements and showed that in a warm geotherm situation, velocity inversion can occur for rocks rich in olivine or quartz. However, velocity decrease by a high-temperature gradient is limited (less than 13%, Meissner, 1986). For larger velocity reduction, almost necessarily, partial melt is involved. Dehydration reaction could be another reason but is less likely in our case.

Partial melting is consistent with the observation of high-frequency S-wave attenuation in north-central Tibet (Barazangi and Ni, 1982; Ni and Barazangi, 1983; McNamara *et al.*, 1995a; Zhu and Helmberger, 1995). It is also supported by abundant evidence for late-Cenozoic volcanism in the area. Molnar *et al.* (1987) reported discovery of a suite of igneous rocks that includes tourmaline-bearing granite, two-mica granite, and sanidine-bearing welded tuff near the Ulugh Muztagh area, northern Tibet. These very acidic rocks were believed to result from the melting of crustal rocks (Molnar *et al.*, 1987). Basaltic volcanic rocks were also reported (Deng, 1978), which suggests that an upper-mantle component is also involved (Molnar, 1988).

High-crustal temperature could arise from the concentration of radiogenic crustal material as a result of crustal shortening during the formation of the plateau (Zhao and Helmberger, 1991), or due to the high heat flux from abnormal upper-mantle under the north-central plateau (Molnar, 1988). There is ample evidence for a hot upper-mantle beneath this area, for example, the high-Sn attenuation and the low-Pn velocities from recent tomography studies (Zhao and Xie, 1993; McNamara *et al.*, 1995b).

Dipping Velocity Interfaces and Thrust Faults

The strikes of dipping interfaces we obtained are consistent with the E–W trend of surface geology. These inter-

faces may be associated with thrust faults observed in the brittle upper crust of the area. For example, the shallow northward-dipping interface under ERDO could be related to the Fenghuoshan thrust faults that run E–W in the south of the station (Kidd *et al.*, 1988). It is estimated that a significant amount of crust shortening occurred in the Tibetan Plateau as the Indian plate collided and indented into the Eurasia. Palaeomagnetic data provided a rough relative movement between the two plates and showed that about 2000 km of crustal shortening has occurred between the Indo-Zanbo collision suture and the Siberia (Patriat and Achache, 1984; Lin and Watts, 1988). Accommodating such a large amount of crustal shortening requires folding and thrust faulting within the plateau or along the north and south boundaries. Large-scale thrust faults exist along the Himalayan front and the northwest boundary between the Tibetan Plateau and Tarim Basin. Recent geological expeditions also found evidences of thrust faults in northern Tibet (Molnar *et al.*, 1987; Tapponnier *et al.*, 1990).

Conclusions

In summary, the waveform variation of receiver functions with backazimuth at three stations located in the northern Tibetan Plateau shows that there are strong lateral heterogeneities within the upper-middle crust in this region. The waveform variation pattern also indicates that they are mainly produced by E–W-striking dipping interfaces. The strike direction is consistent with the E–W trend of surface geology. Modeling a negative-polarity *P*-to-*S* converted phase in the receiver functions at each station shows that there is a mid-crustal low-velocity layer with upper boundary dipping 20° to 30° to the south. The low-velocity layer, together with other geological and seismological observations, suggests that there is a hot, possibly partial melt zone in the middle crust of northern Tibet. Alternately, dipping velocity interfaces might be associated with some buried thrust faults in the upper crust that accommodated crust shortening during the plateau formation.

Shallow and mid-crustal dipping interfaces have noticeable impact on the modeling of deep structure such as the Moho. As demonstrated in our synthetic receiver functions in Figure 2, the multiple converted phases of the dipping interface interferes with the Moho *Ps*, and the amplitude of Moho *Ps* varies with backazimuth. For a crustal thickness of about 65 km, the predicted arrival time of Moho *Ps* is 7.5 to 8 sec after the direct *P* arrival. However, at all three stations, we have not consistently observed Moho *Ps* on the receiver functions profiles (Figs. 4 through 6). As the receiver function method is being widely used for both permanent and portable stations, more attention should be given to these heterogeneity effects on the waveform modeling.

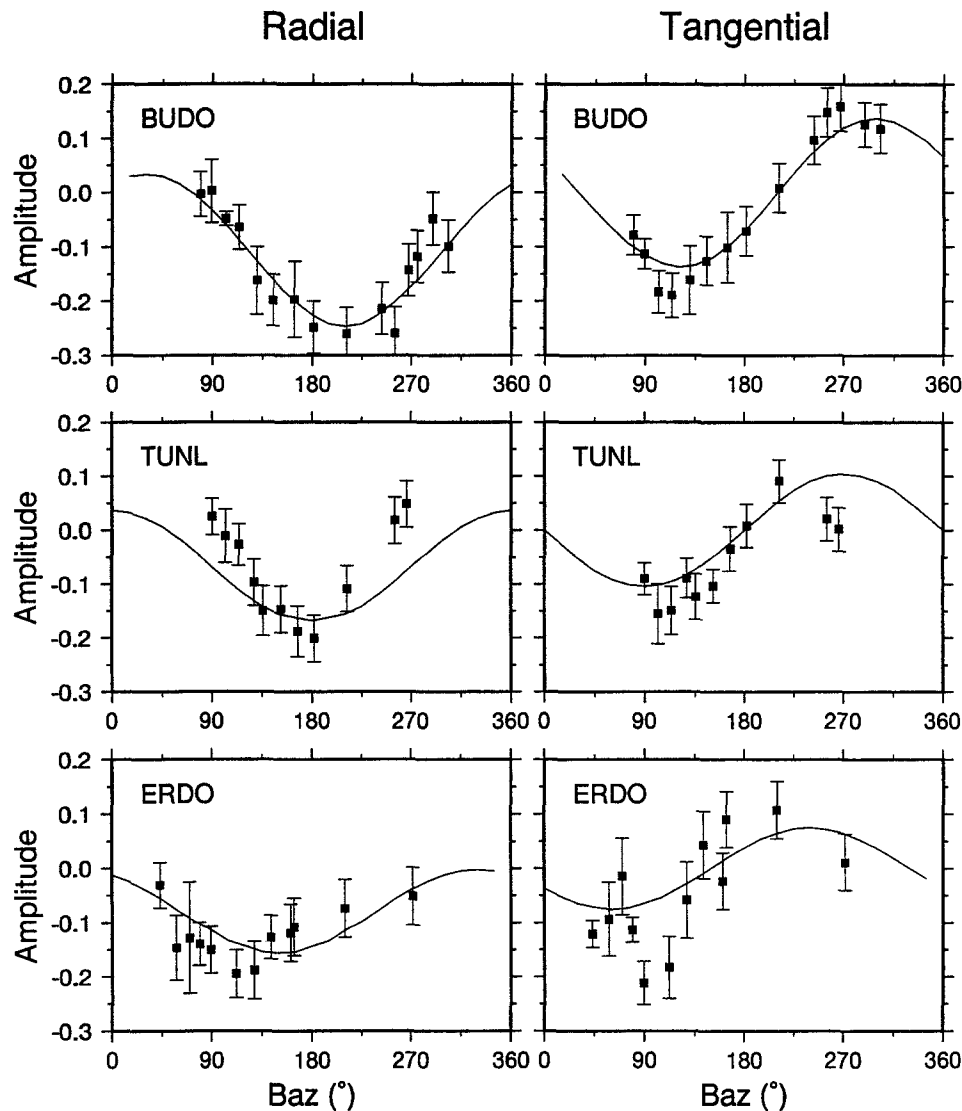


Figure 7. The amplitudes of the mid-crustal converted phases and theoretical modelings (solid lines). The modeling results are listed on Table 2.

Acknowledgments

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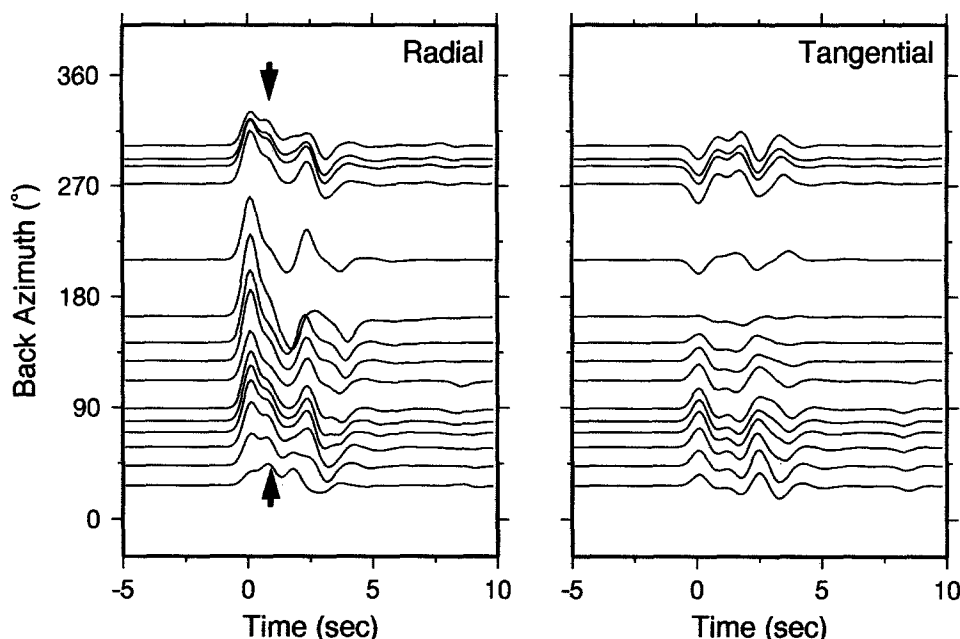


Figure 8. Synthetic receiver functions for station ERDO. Velocity model is listed on Table 2.

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